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PTO/SB21 (6-98)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

3714

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/939,014
Filing Date	August 24, 2001
First Named Inventor	Janet M. Wasowicz
Group Art Unit	3714
Examiner Name	Chanda L. Harris
Attorney Docket Number	2100632-991122

<input checked="" type="checkbox"/> Fee Transmittal Form (1 pg.)	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment (Response C) (28 pgs.)	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request (1 pg.)	<input type="checkbox"/> Associate Power of Attorney,	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Attachments to IDS: Sixteen (16) U.S. Patents; One (1) PCT Int'l Search Rpt.; Check # _____ for \$390.00; Certificate of First Class Mail (1 pg); Return Postcard
<input checked="" type="checkbox"/> Information Disclosure Statement w/Information Disclosure Citation (3 pgs.)	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

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TECHNOLOGY CENTER R3700

## SIGNATURE OF APPLICANT, ATTORNEY OR AGENT

Firm or Individual name	Timothy W. Lohse (Reg. No. 35,255) Gray Cary Ware Freidenrich LLP
Signature	
Date	November 19, 2003

## CERTIFICATE OF MAILING

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Typed or printed name	Gathy Pittman	CHRISTINE LOWRY
Signature		Date
		November 19, 2003

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

**Complete if Known**

Application Number	09/939,014
Filing Date	August 24, 2001
First Named Inventor	Wasowicz
Examiner Name	Chanda L. Harris
Art Unit	3714
Attorney Docket No.	2100632-991122

☒ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**390.00****METHOD OF PAYMENT (check all that apply)**☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name**07-1896****Gray Cary Ware & Freidenrich LLP**

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
<b>SUBTOTAL(1)</b>					<b>0.00</b>

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims	-20** =	X	=
Multiple Dependent	-3** =	X	=

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple dependent claim, if not paid	
1204	86	2204	43	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					<b>0.00</b>

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	210
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					
<b>SUBTOTAL (3)</b>					<b>390.00</b>

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY**

(Complete if applicable)

Name (Print/Type)	Timothy W. Lohse	Registration No. (Attorney/Agent)	35,255	Telephone	650-833-2055
Signature	<i>Timothy W. Lohse</i>	Date	November 19, 2003		

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the form, or any suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

Gray Cary Ware & Freidenrich LLP  
2100632-991122  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

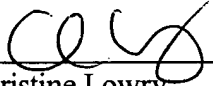
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DATE OF DEPOSIT: November 19, 2003

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Christine Lowry

\* \* \*

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COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 CFR 1.53(b) are the following documents pertaining to:

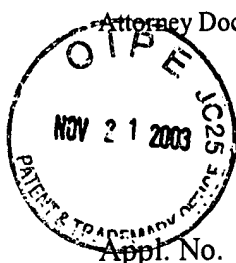
Application S/N: 09/939,014  
Filing Date: August 24, 2001  
INVENTOR(s): Janet M. Wasowicz  
Attorney Docket No.: 2100632-991122

For: DIAGNOSTIC SYSTEM AND METHOD FOR PHONOLOGICAL  
AWARENESS, PHONOLOGICAL PROCESSING, AND  
READING SKILL TESTING

Enclosed are:

- 1) Transmittal Form (1 pg.);
- 2) Petition for Extension of time (1 pg.);
- 3) Response D to Office Action (28 pgs.);
- 3) Information Disclosure Statement w/Form PTO-1449 (3 pgs.);
- 4) IDS References: Sixteen (16) U.S. Patents; One (1) PCT International Search Report;
- 5) Fee Transmittal FY 2004 (1 pg.);
- 6) Check # 548578 for \$390.00;
- 7) Certificate of First Class Mailing (1 pg.); and
- 8) Return Postcard

15/C  
K. C. L.  
12/15/23



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/939,014  
Applicant : Janet M. Wasowicz et al.  
Filed : August 24, 2001  
TC/A.U. : 3714  
Examiner : Chanda L. Harris

Confirmation No. 3590

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TECHNOLOGY CENTER R3700

Docket No. : 2100632-991122  
Customer : 26379  
No.

Title: DIAGNOSTIC SYSTEM AND METHOD FOR PHONOLOGICAL  
AWARENESS, PHONOLOGICAL PROCESSING, AND READING SKILL  
TESTING

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November 19, 2003

Christine Lowry

\*\*\*

RESPONSE D

Commissioner for Patents  
Washington, D.C., 20231

Sir:

In response to the Office action of June 24, 2003, please amend the above-identified  
application as follows:

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2  
of this paper.

**Remarks/Arguments** begin on page 27 of this paper.

12/11/2003 RCOBB1 00000001 071896 09939014

01 FC:1201

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